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AGENDA
THE GULF CONSORTIUM
Executive Committee Meeting

December 14, 2023; 3:00 p.m. Eastern
GoToMeeting

<https://global.gotomeeting.com/join/615887485>

United States: +1 (408) 650-3123

Access Code: 615-887-485

Committee Members

Chair - Commissioner Chris Constance (Charlotte), Vice-chair - Commissioner John Meeks (Levy),
Secretary/Treasurer - Commissioner Jack Mariano (Pasco), Commissioner Robert Bender
(Escambia), David Edwards (Wakulla)

Alternate Committee Members:

Commissioner Charlie Justice (Pinellas)

Staff

Valerie Seidel, Dan Dourte, Richard Bernier, Amy Bainbridge (The Balmoral Group)
Lynn Hoshihara, Evan Rosenthal (Nabors, Giblin & Nickerson, P.A.)

Item 1. Call to Order.

Chairman Commissioner Christopher Constance will call the meeting to order.

Item 2. Roll Call.

Valerie Seidel will call the roll.

Item 3. Additions or Deletions.

Any additions or deletions to the committee meeting agenda will be announced.

RECOMMEND: Approval of a final agenda.

Item 4. Public Comments.

The public is invited to provide comments on issues that are on today's agenda. The meeting will be conducted electronically (or "virtually"). You may participate in the meeting electronically by joining the go to meeting at <https://global.gotomeeting.com/join/615887485> or you may also dial in using your phone:

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Please note: Individuals who have comments concerning a specific agenda item shall make an effort to communicate with staff prior to that agenda item.

(Please see backup pages 4-5)

Item 5. Consent Agenda.

The consent agenda items are presented for approval. Executive Committee members may remove any items from this agenda that they have questions on or would like to discuss in depth. Any items removed would then be included in the regular agenda in an order assigned by the Chair.

Consent Agenda Items:

- Minutes of the September 11, 2023 Executive Committee Meeting (please see backup pages 6-9)
- Report on delegated authority through October 31, 2023 (Please see back up pages 10-12)

RECOMMEND: Approve Executive Committee Meeting Minutes and Delegated Authority Report

Item 6. 2024 Calendar

Dan Dourte will review the planned 2024 Gulf Consortium meeting dates (Please see back up pages 13-15)

RECOMMEND: Approve for Full Board Review

Item 7. Draft SEP amendment

Dan Dourte will request approval of the 6th annual SEP amendment to accommodate project changes in Gulf Consortium counties. (Please see back up pages 16-38)

RECOMMEND: Approve SEP amendment 6 draft for full Board Review

Item 8. Grant Applications Status

Dan Dourte will give an update on grant application status. 1 new application was received (Monroe County project). Total new funding requested: about \$12.5M. Upcoming subrecipient applications should be delivered to the Gulf Consortium by 1/5/2014. The status for all projects with active funding requests is regularly updated and can be accessed on P.7 of the interface at <https://datavisual.balmoralgroup.us/GulfConsortiumProjects>. (Please see back up pages 39-42)

RECOMMEND: Approve Grant Applications for Full Board Review

Item 9. Financial Report

Richard Bernier will deliver updated financial reports.

(Please see back up pages 43-49)

RECOMMEND: Approve Financial Reports for Final Board Approval



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Item 10. Project Highlight

Santa Rosa County project 2-1: Santa Rosa Sound Water Quality Improvement Program is summarized as the implementation project highlight for this meeting. (Please see back up pages 50-54)

RECOMMEND: For information only

Item 11. General Counsel Report

Updates as needed from General Counsel. (Please see back up pages 55-56)

RECOMMEND: For information only

Item 12. Manager's Report

Valerie Seidel will present an updated manager's report. (Please see back up pages 57-58)

RECOMMEND: For information only

Item 13. Public Comments

The public is invited to provide comments on relevant issues. (Please see back up 59-60)

Item 14. Executive Committee Member Comments

Members of the Gulf Consortium Executive Committee are invited to provide comments on relevant issues. (Please see back up pages 61-62)

Item 15. Upcoming Gulf Consortium Board Meeting

Friday – 12/14/2023 at 3:30pm ET
online/phone only

Item 16. Adjourn

AGENDA ITEM 4

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 4
Public Comments**

Statement of Issue:

The public is invited to provide comments on issues that are on today's agenda

Attachments:

None

Prepared by:

Daniel Dourte, The
Balmoral Group On:
November 27, 2023

AGENDA ITEM 5a

**Gulf Consortium Executive Committee Meeting
September 11, 2023 Time 10:00 a.m. (Eastern)
GoToMeeting**

Members in Attendance: Chair - Commissioner Christopher Constance (Charlotte), Vice-chair - Commissioner John Meeks (Levy), Secretary/Treasurer - Commissioner Jack Mariano (Pasco), Commissioner Robert Bender (Escambia), David Edwards (Wakulla), Jim Mueller (Bay)

Also, in Attendance: Staff: Valerie Seidel, Dan Dourte, Richard Bernier, Jenny Nadeau (all, of The Balmoral Group); Legal Counsel: Evan Rosenthal (Nabors, Giblin & Nickerson); Charlie Justice, Coleen Weaver, Gareth Leonard, Nick Daigle, Nina Stamoulis, Sarah Ketron

Agenda Item #1 – Call to Order

Chairman Christopher Constance called the meeting to order at 10:03 am.

Agenda Item #2 – Roll Call

Valerie Seidel called the roll. Attendees as above.

Agenda Item #3 – Addition or Deletions

Chairman Christopher Constance asks the committee if they have any additions, deletions, or corrections to the agenda. Commissioner Meeks made the motion, second by Commissioner Bender; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #4 – Public Comment

None.

Agenda Item #5 – Consent Agenda

Chairman Christopher Constance presents the minutes from the June 14th Executive Committee Meeting and the Report on Delegated Authority through July 31th for approval. A motion was made for approval of the minutes and delegated authority by Commissioner Bender, seconded by Commissioner Mariano; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #6 – Additional Bucket 3 Funding from HESI/Transocean Damages

Chairman Christopher Constance recognized Valerie Seidel who discussed additional funding that has become available. If the Board votes to accept the funds, Gulf Consortium will receive additional amount of funds arising from Halliburton Energy Services Inc (HESI) and Transocean Ltd. Settlement. A copy of the order was provided. The exact amount of the distribution is not known. The original bp spill impact component (“Pot 3”) funds were distributed among the 23 Gulf coast counties equally, resulting in a beginning balance of approximately \$12.7 million. The initial available funds were reduced by the costs of the Planning Grant of approximately \$2.9 million, the “Stand-up SEP” of \$215,000, and adaptive planning costs of about \$191,860, resulting in a balance of roughly \$12.6 million available to each County for projects. Evan Rosenthal added that he received an email stating the total addition funding is estimated at \$20 million-25 million, with Gulf Consortium receiving 17%, or \$4 million, estimated. David Edwards made the motion to approve, seconded by Commissioner Bender; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #7 – Request to Prepare Draft SEP Amendment

Chairman Christopher Constance recognized Dan Dourte who presented his request authorization to initiate the 6th annual SEP amendment to accommodate project changes in Gulf Consortium counties. Draft SEP amendment narrative should be delivered to the Gulf Consortium by 10/6/2023. An amendment to the SEP is required for new projects or changes in scope of existing projections. The goal of this SEP amendment: to revise project scopes and/or add new SEP projects where needed. If approved in draft form at the December Board meeting, this would open the required 45-day public comment starting within a few days of that Board meeting. The estimated time involved in this SEP amendment is up to (maximum) 40 hours (\$6,800) for The Balmoral Group and 8 hours for NGN (\$2,000). Commissioner Bender made the motion to approve for full board review, seconded by Commissioner Meeks; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #8 – Grant Applications Status

Chairman Christopher Constance recognized Dan Dourte who informed the board that there is one new application (new project) and one new funding request (to amend existing project with new scope). The next recommended deadline for submission of grant application materials is 10/6/2023. There is a new funding request for Bay County’s project 5-2: \$2.9M for construction of the East Highland Park Regional Stormwater Facility. This will be an amendment to the currently awarded scope for 5-2: St. Andrew Bay Stormwater Improvement Program – St. Andrew Bay Watch (Water Quality Monitoring). About \$1.3M in County co-funding will also support construction costs. There is a new funding request for Dixie County’s project 11-1: Horseshoe Beach Working Waterfront. About \$3M in RESTORE bucket 3 funds will support design and implementation of maintenance dredging and the development of commercial dock facilities on a County-owned waterfront parcel. About \$3M in FEMA funds will be used as co-funding on this project. Dan requested notice of projects planned for later that could possibly start now and recommended that if Bucket 3 funded work to start by November 2024 is desired, begin funding application process now.

David Edwards made the motion to approve for full board review, seconded by Commissioner Bender; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #9 – Financial Report

Chairman Christopher Constance recognized Richard Bernier who provided the financial statements through July 31, 2023 and presented to the Committee for review and approval to the Board the Balance Sheet and Income Statement. There are \$40.08 million in the awarded grant balance with \$2.3 million of grant expenses. There was also \$38.6k of adaptive planning expenses through July 2023 along with \$38k of general fund expenditures with a balance of \$86k. There were no questions or comments. David Edwards made the motion to approve the financial reports, seconded by Commissioner Bender; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #10- Budget for FY23/24

Chairman Christopher Constance recognized Valerie Seidel who presented the proposed budget for the next fiscal year, October 1 to September 30. For the fiscal year 2022-2023, costs are estimated to total approximately \$57,000 and include approximately 270 hours of management time. For the fiscal year 2023-2024, costs are estimated to total approximately \$88,865 and include approximately 350 hours of management time. Approximately \$10,000 of costs are incurred that are anticipated to be covered by a pending amendment to the adaptive planning grant. Current year legal costs have been

well under budget but contractual caps allow for \$100,000. Meeting and travel expense are budgeted for one in-person meeting. The Adaptive Planning Grant funds are budgeted at \$82,516. SEP Funds are based on the grant application submissions received from the counties. Staff budgeted for about \$101 million during the current year, using the SEP-planned grant milestones to date. For FY 2023-24, staff is budgeting for additional projects targeted for the fiscal year of about \$89 million, and estimating 1/2 for draw-downs in the current year. This is well below the trust fund balance of \$159.7 million. The budget assumes one in-person meeting as per Board direction. Valerie explained that budgeted adaptive planning and county funds are being kept essentially flat, as there is uncertainty with the new funds whether additional set-up efforts will be required; otherwise the budget may have been reduced. Chairman Christopher Constance inquired how do we get the \$44.5 million fund expenses. Valerie Seidel responded that the SEP plan shows about \$89 million in grants due for application next year, and it is estimated that 50% of that could be drawn as a conservative amount. David Edwards made the motion to approve for consideration of full board, seconded by Commissioner Bender; passed by unanimous vote.

ACTION: EXECUTIVE COMMITTEE APPROVED

Agenda Item #11- Project Highlight

Daniel Dourte provided an SEP project highlight to the committee. It was the Franklin County's project 7-3: Apalachicola Bay Cooperative Dredging. This project has dredged two locations in Apalachicola Bay to ensure sufficient channel depths for navigation. Erin Griffith serves as project manager with Franklin County. Slide images on this project were provided. This item was for information only.

Agenda Item #12- General Counsel Report

There were no updates from General Counsel. No additional questions or comments.

Agenda Item #13- Managers Report

Chairman Christopher Constance recognized Valerie Seidel who provided an update on the manager's report. Staff submitted 25 draw requests totaling \$5.7 million, resubmitted two applications and accepted 2 awards and 1 amendment during the period. Currently we have 51 grants in various stages of activity and have \$118 million in active grants, with \$24 million under RESTORE review, \$82 million in active awards, and \$9.5 million closed or withdrawn. Average processing time from original receipt of grant application to award date is 285 days, which is up from 232 one year ago. Staff has continued with the new quarterly review schedule, and currently has 18 reviews completed. Valerie spoke again on the additional funding from the HESI/Transocean settlement. No funds have been received, and the date nor exact amount is known. However, the funds are separate from Pot 3, and one of the criteria for eligibility to accept the funds is the ability to track the funds separately, without commingling of the funds. Staff has reached out to Leon County to determine the appropriate controls needed to ensure segregation of funds and proper documentation. This item was for information only.

Agenda Item #14 Public Comments

None.

Agenda Item #15– Executive Committee Member Comments

None.

Agenda Item #16 – Upcoming Board Meeting

The next meeting is virtual on September 22nd at 4:00pm.

Agenda Item #17 – Adjourn

There being no further business the meeting was adjourned at 10:28am

AGENDA ITEM 5b

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

Consent Agenda

Item 5b

**Report on Delegated Authority
Actions from August 1, 2023 – October 31, 2023**

Summary:

Staff report of actions carried out through delegated authority of the Board. There were 2 application resubmittals, 1 monetary award amendment, 2 award acceptances, 4 financial reports and 1 performance report submitted, and there were 16 draw requests for SEP project work. A detailed listing of individual transactions follows.

| Submission Date | Action Taken | County | Project No. | Amount |
|------------------------|------------------------------|---------------|--------------------|---------------|
| 8/10/2023 | Resubmitted Application | Bay | 5-1 | 6,563,518.00 |
| 8/11/2023 | Resubmitted Application | Hillsborough | 17-2 | 7,485,976.00 |
| 8/11/2023 | Draw Request | Pinellas | 16-3 | 5,614.18 |
| 8/11/2023 | Draw Request | Taylor | 10-1 | 6,939.58 |
| 8/11/2023 | Draw Request | Okaloosa | 3-3 | 79,233.20 |
| 8/11/2023 | Draw Request | Collier | 22-1 | 11,472.54 |
| 8/11/2023 | Draw Request | Bay | 5-2 | 15,617.42 |
| 8/17/2023 | Award Amendment | Citrus | 13-1 | 5,997,571.00 |
| 8/21/2023 | Accepted Award | Okaloosa | 3-5 | 1,620,624.00 |
| 8/25/2023 | Submitted Performance Report | Pasco | 15-1 | |
| 8/25/2023 | Submitted Performance Report | Manatee | 18-1 | |
| 8/25/2023 | Submitted Performance Report | Franklin | 7-3 | |
| 8/28/2023 | Financial Report | Pasco | 15-1 | |
| 8/28/2023 | Financial Report | Manatee | 18-1 | |
| 8/28/2023 | Financial Report | Franklin | 7-3 | |
| 9/15/2023 | Accepted Amendment Award | Gulf | 6-2 | 2,870,688.00 |
| 9/21/2023 | Draw Request | Gulf | 6-1 | 12,391.22 |
| 9/21/2023 | Draw Request | Pinellas | 16.2 | 1,025.31 |
| 9/21/2023 | Draw Request | Sarasota | 19-1 | 1,694.21 |
| 9/21/2023 | Draw Request | Manatee | 18-1 | 2,525.76 |
| 9/21/2023 | Draw Request | Citrus | 13-1 | 62.50 |
| 9/21/2023 | Draw Request | Santa Rosa | 2-1 | 2,313.19 |
| 9/21/2023 | Draw Request | Escambia | 1-1 | 349,528.94 |
| 10/23/2023 | Draw Request | Bay | 5-2 | 23,276.42 |
| 10/23/2023 | Draw Request | Gulf | 6-2 | 11,749.57 |
| 10/23/2023 | Draw Request | Santa Rosa | 2-1 | 45,979.81 |

| Submission Date | Action Taken | County | Project No. | Amount |
|------------------------|---------------------|---------------|--------------------|---------------|
| 10/23/2023 | Draw Request | Okaloosa | 3-5 | 11,597.23 |
| 10/26/2023 | Performance Report | Levy | 12-2 | |
| 10/27/2023 | Financial Report | Levy | 12-2 | |

AGENDA ITEM 6

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 6
Approval and Adoption of the Gulf Consortium
2024 Meeting Calendar**

Statement of Issue:

This agenda item seeks approval of the Gulf Consortium 2024 meeting calendar for full Board consideration.

Background:

Under the Interlocal Agreement creating the Gulf Consortium, the Chairman has the power to set the times and locations of the Consortium. However, at the Executive Committee meeting of September 9, 2016, the Chairman recommended that the calendar be discussed by the Board. The Board has since provided direction as to align the in-person Gulf Consortium meetings with Florida Association of Counties events. Virtual/online meetings are recommended to be held in the week following FAC conference events to avoid scheduling conflicts.

Analysis:

Based on the meeting history since 2016, and coordination with FAC personnel, the following date ranges and locations are proposed based on FAC events with known dates for the 2024 Board meeting calendar:

| <u>Date Range</u> | <u>Day of Week</u> | <u>Location</u> |
|-----------------------|--|---------------------------|
| February 5 to 9, 2024 | Wednesday <i>(FAC Legislative Day is Jan 17)</i> | Online |
| June 25-28, 2024 | Tuesday - Friday <i>(FAC Annual Conference Jun 25-28)</i> | In-person: Orlando |
| September 25-26, 2024 | Wednesday - Thursday <i>(FAC Innovation and Policy Sep 18-19)</i> | Online |
| December 11-13, 2024 | Wednesday - Friday <i>(FAC Legislative Conference Dec 4-6)</i> | Online |

Four dates are proposed for 2024. Meetings are expected to be largely dedicated to approvals of SEP project grant applications and financial reviews and other typical SEP project implementation support. Four meetings were approved (one

in-person) in the 2024 Gulf Consortium Budget during the September 2023 Board meeting.

Recommendation:

Move this item to the full Board for consideration at the December 2023 Board meeting.

Attachments:

None.

Prepared by:

Dan Dourte
The Balmoral Group
November 27, 2023

Action Taken:

Motion to: _____, Made by: _____;

Seconded by: _____.

Approved____; Approved as amended____; Defeated_____.

AGENDA ITEM 7

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 7
SEP Amendment Draft**

Statement of Issue:

Request that the Draft SEP Amendment #6 be sent to the full Board for review prior to release for public comment.

Background:

An amendment to the SEP is required for new projects or changes in scope of existing projects. This is the 6th amendment to Florida's SEP. Amendments to the SEP are done as needed and not more frequently than once per year.

Analysis:

Changes were made in the following Counties:

- Charlotte County replaced their existing project with a new SEP project for WRF upgrades
- Hernando County is adjusting funding allocations from project 14-4 to 14-5
- Pasco County is removing all SEP projects other than 15-9 (channel improvements and water quality) and is expanding 15-9 to be the County's single SEP project
- Pinellas County is clarifying the scope and needed co-funding for its project 16-2 Wastewater Collection System Improvements
- Levy County is clarifying that the project subrecipient for project 12-2 is to be determined or adjusted as needed during project implementation.
- Wakulla County is redirecting all SEP project funds to project 8-1: Wakulla Springshed Water Quality Protection Program – this will address cost escalation for the construction portion of the Otter Creek WWTF.

If approved in draft form at the December Board meeting, this will be released for the required 45-day public comment starting within a few days of that Board meeting.

Options:

- (1) Approval of Draft SEP Amendment #6 to be reviewed by the full Board
- (2) Executive Committee direction

Recommendation:

Option 1

Attachments:

Draft SEP Amendment #6

Prepared by:

Dan Dourte
The Balmoral Group
November 20, 2023

Action Taken:

Motion to: _____, Made by: _____;

Seconded by: _____.

Approved____; Approved as amended____; Defeated_____.

State of Florida

STATE EXPENDITURE PLAN –

Amendment 6: December 2023

Submitted Pursuant to the Spill Impact

Component of the RESTORE Act

33 U.S.C. § 1321(t)(3)



Executive Summary

This 6th amendment to the State Expenditure Plan (SEP) for the State of Florida, prepared by the Gulf Consortium (Consortium), addresses the following changes:

- Wakulla County is redirecting all SEP project funds to project 8-1: Wakulla Springshed Water Quality Protection Program – this will address cost escalation for the construction portion of the Otter Creek WWTF.
- Levy County is clarifying that the project subrecipient for project 12-2 is to be determined or adjusted as needed during project implementation.
- Hernando County is adjusting funding allocations from project 14-4 to 14-5 to accommodate cost increases for the Calienta St. stormwater improvements.
- Pasco County is removing all SEP projects except 15-9 (channel improvements and water quality) and is expanding 15-9 to be the County's single SEP project; this supports a more substantial channel restoration and stormwater improvements scope of work.
- Pinellas County is clarifying the scope and needed co-funding for its project 16-2 Wastewater Collection System Improvements.
- Charlotte County is adding a new project in to improve wastewater treatment capacity in the County – this replaces the County's previously planned septic-sewer project.

An updated project milestone table is included with this amendment (Table 1); this replaces the sequencing summary table found on pages 483-484 in the original SEP. An updated project summary table, showing all Spill Impact Component project total costs can be found in Table 2; this replaces the project summary table found on pages 455-456 in the original SEP.

State Certification of RESTORE Act Compliance

In accordance with Section 5.2.2 of the SEP Guidelines provided by the Council, the Gulf Consortium hereby certifies the following:

- All projects, programs, and activities included in the Florida SEP amendment are eligible activities as defined by the RESTORE Act.
- All projects, programs, and activities included in the Florida SEP amendment contribute to the overall economic and/or ecological recovery of the Gulf Coast.
- The FL SEP amendment takes into consideration the Comprehensive Plan and is consistent with the goals and objectives of the Comprehensive Plan.
- Issues crossing Gulf State boundaries have been evaluated to ensure that a comprehensive, collaborative ecological and economic recovery is furthered by the Florida SEP.
- All projects, programs, and activities included in the SEP are based on and/or informed by the Best Available Science as defined in the RESTORE Act.

Public Participation Statement

- The draft FL SEP Amendment 6 was delivered by email on 11/XX/2023 to the Gulf

Consortium Board of Directors, County personnel, industry stakeholders, Florida state agencies (including Florida Department of Environmental Protection and Florida Fish and Wildlife Conservation Commission), and conservation organizations (more than 100 people). The draft FL SEP Amendment 6 was presented in public meetings on 11/XX/2023 and 11/XX/2023. During these meetings the content of the amendment was described and comments were invited. The draft FL SEP Amendment 6 was posted on the Gulf Consortium website (<https://www.gulfconsortium.org/>) and the link to a comment portal (XXXXXXXXXX) was provided in the email delivery described above. In the email message to County commissioners, County staff working on RESTORE efforts, DEP, FWC and NWF, it was requested that the amendment be forwarded along to other interested stakeholders for comments.

Financial Integrity

- The Consortium is the legal entity in Florida responsible for implementation of this Florida SEP amendment, and will be the direct recipient of grant funds disbursed by the Council to the State of Florida pursuant to the Spill Impact Component of the RESTORE Act. The full original SEP (<https://www.gulfconsortium.org/state-expenditure-plan>) should be referred to for additional detail on the financial integrity of the Gulf Consortium.
- Projects described in the SEP will be carried out by the Consortium Counties acting as subrecipients to the Gulf Consortium. The Gulf Consortium has a formalized risk assessment process in place to assess the capabilities of subrecipients to implement activities in the Plan consistent with the requirements of 2 CFR Part 200, including the subrecipient risk evaluation in 2 CFR 200.331(b). Regarding the process for assessing subrecipient capabilities, the Gulf Consortium will document that the Consortium's counties which use their own subrecipients to implement SEP activities will assess the capabilities of those sub-subrecipients consistent with the requirements in 2 CFR Part 200, including the subrecipient risk evaluation in 2 CFR 200.331(b).

Overall Consistency with the Goals and Objectives of the Comprehensive Plan

- The process for goal development and the consistency of Florida SEP activities with the Council Comprehensive Plan is described in detail in the Florida SEP. This SEP amendment is fully consistent with, and furthers, the Council's Comprehensive Plan. The projects, programs, and activities proposed in this Florida SEP amendment were nominated through a county-driven process.

Compliance with 25 Percent Infrastructure Limitation

In accordance with Section 4.2.2 of the Council's SEP Guidelines, the State of Florida hereby certifies that the proposed projects, programs, and activities described in Section V of this SEP comply with the 25 percent infrastructure limitation. For SEP purposes, the term "infrastructure" has the same meaning as provided in 31 Code of Federal Regulations (CFR) Section 34.2. The

25 percent infrastructure limitation is defined in the RESTORE Act, 33 U.S.C. Section 1321(t)(3)(B)(ii). This provision states that not more than 25 percent of the allocated Spill Impact Component funds may be used by a State for infrastructure projects for RESTORE Act Eligible Activities 6 and 7, which include:

- Eligible Activity 6: Infrastructure projects benefiting the economy or ecological resources, including port infrastructure, and
- Eligible Activity 7: Coastal flood protection and related infrastructure.

This proposed amendment increases the total Gulf Consortium project infrastructure cost by about \$11M as the expanded project 15-9 has Eligible Activity 6 as a primary activity.

SEP Project Cost and/or Scope Changes

The projects and/or programs in a State Expenditure Plan (SEP) may need to be modified in the future in response to a range of factors including cost, engineering and design, permitting, and other considerations. In some cases, such changes will warrant an amendment to the SEP, including public review and input. In other cases, such changes can be made at the discretion of the SEP sponsor without the need for a SEP amendment.

A SEP amendment is not required for a cost change to an approved SEP project or program if (i) the cost change does not affect the overall scope or objective of the given project or program, and (ii) funding is available within the total amount approved for the SEP (including amendments). For example, if the cost of a boat ramp increases due to increased construction costs but the scope of the project would not materially change and the total approved SEP funding would not change, then a SEP amendment would generally not be required. Similarly, if a proposed construction cost saving would not result in a material change to the overall project scope or objective, an amendment would not be required.

In some cases, however, increasing the funds for one SEP project or program may require decreasing the scope of other SEP projects or programs. If the reallocation of funds from one or more SEP projects or programs to another results in a material (more than minor) change in the overall scope or objective of the project(s) or program(s) from which funds are taken, then a SEP amendment is required.

If the proposed cost change requires additional funding above and beyond the total amount approved in the SEP and any amendments, it too requires a SEP amendment, regardless of whether there is a material change in the overall scope or objective of the given project or program.

The following section is for completely new projects only.

For projects currently in the SEP that need scope changes or other revisions, see the section titled “SEP project timing and cost revisions and scope changes.”

Charlotte County

West Port Water Reclamation Facility Expansion Project

PROJECT NO. 20-2

Proposed Projects, Programs, and Activities

PROJECT DESCRIPTION – WEST PORT WATER RECLAMATION EXPANSION PROJECT

This project involves the expansion of the West Port Water Reclamation Facility (WRF) located at 15005 Cattle Dock Point Road in Port Charlotte, Florida. Figure 1 shows the location of the WRF, which encompasses approximately 97 acres of land. Functioning as an activated sludge treatment facility, the West Port WRF holds a significant rating of 1.2 million gallons per day (MGD), based on annual average design flow (Charlotte County, 2023). The facility plays a crucial role in serving the West County area.

The West Port WRF is permitted to distribute reclaimed-quality water to unrestricted public-access reuse sites and inject into a deep well injection system. To ensure operational continuity, two diesel-powered emergency generators, equipped with Automatic Transfer Switches (ATSs), are in place to provide standby power when needed. The treatment process includes screening and aeration, clarification, filtration, and disinfection using 12% sodium hypochlorite bleach. The effluent undergoes careful management, being discharged to reclaimed water sites like golf courses or onsite spray irrigation fields. Additionally, there's a contingency plan in place for backup disposal to an onsite deep injection well, reinforcing the County's commitment to a resilient and efficient wastewater treatment process.

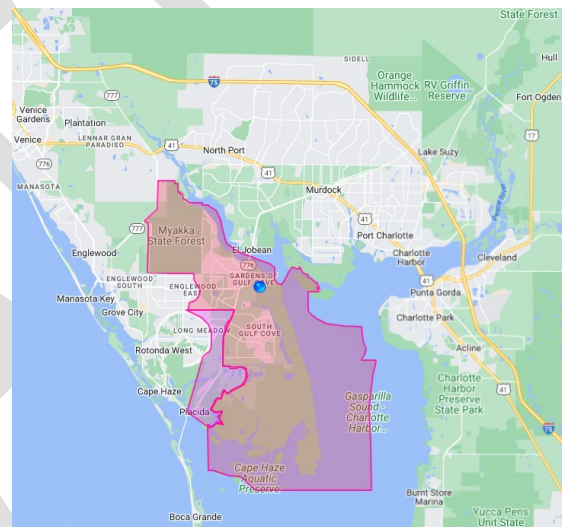


Figure 1. Project Location

Need and Justification

Since the mid-nineties, the West Port Water Reclamation Facility (WRF) has undergone multiple modifications and upgrades under to enhance reliability and facilitate the production of effluent for public access reuse. In 2004, the facility's capacity was upgraded to 1.2 million gallons per day (MGD), a project that was successfully certified as complete in January 2005. Notably, West Port boasts a Class 1 injection well, approved by the Florida Department of Environmental

Protection for a discharge capacity of 4.75 MGD at a maximum pumping rate of 3,300 gallons per minute (gpm) and a maximum injection pressure of 96 psi, with a depth of approximately 1,650 feet.

As of the end of FY 2022, the average daily flow (AADF) stood at 0.78 MGD, operating at 65 percent of the plant permit capacity. The Maximum Average Daily Flow (MADF) reached 0.89 MGD in September 2022, reflecting 68 percent of the plant permit capacity (JonesEdmunds, 2023). This increase was influenced by wet weather and inflow/infiltration (I/I) to the facility.

Preliminary assessment indicates that the injection wells are undersized in light of current demand and growth forecasts. To address this, the County's short-term objective is to implement flow equalization (EQ) with basic screening, starting with raw EQ and incorporating odor control. The absence of flow EQ storage for peak-hour flows is a gap that needs to be addressed, and the introduction of flow EQ is anticipated to enhance the efficiency and capacity of plant operations (JonesEdmunds, 2023). Furthermore, to align with the preferences of the Charlotte County Utilities Department (CCU), the addition of another interceptor before the West Port WRF is being considered. Given the ongoing upgrade of the access road to West Port, it's imperative to incorporate this interceptor before the road becomes more challenging to modify. Currently, Charlotte County is in the process of conducting Preliminary Engineering and Feasibility Studies to upgrade the facility from 1.2 to 3 MGD, inclusive of Equalization. These upgrades, once completed, will significantly enhance plant performance and operational efficiency.

Purpose and Objectives

The purpose of the proposed project is to expand the WWTP for demand and growth. Currently 5,980 households are utilizing the system with an anticipated growth of 1,000 additional commercial & residential households by 2024. This project will expand the current treatment facility through equipment and operational upgrades, nearly tripling the plant's current capacity, to better serve needs of current residents and keep pace with projected future growth. Benefits include a higher quality wastewater treatment service and a wastewater treatment plant that is equipped to scale service with future growth demands.

These objectives are consistent with recommendations laid out in the Charlotte County 2022 - 2023 Capital Improvements Program and Charlotte County Utilities Department 2022 Annual Report.

Project Components:

Construction will be completed in a single phase over four years with monitoring occurring throughout the life of the project. Project components include:

- Flow Equalization
- Headworks Structure
- Flow Splitter
- Oxidation Ditches/Other Biological Treatment System
- Secondary Clarifiers

- RAS/WAS Pumping Station
- Filters
- Chlorine Contact Basin
- Plant Drain Collection and Pumping Station System
- Reclaimed High Service Pumping & Storage
- Plant Water Pumping Station
- Chlorination System
- Stormwater Design

Contributions to the Overall Economic and Ecological Recovery of the Gulf

In addition to enhancing the performance and operational efficiency of the West Port Water Reclamation Facility, the planned improvements hold significant benefits for both the community and the environment, both during the construction phase and in the long term. The design of the improved plant design and construction schedule will be carefully selected and organized around the needs of nearly 7,000 future households. The expanded facility will see its capacity increase from 1.2 million gallons per day (MGD) to 3 MGD, employing an efficient and reliable treatment process tailored to meet the evolving needs of the communities it serves. This enhancement involves the implementation of state-of-the-art advanced treatment measures, eliminating odors, enhancing facility aesthetics, and reinstating reliability and safety for the aging infrastructure.

Moreover, the upgraded facility is poised to contribute to a healthier environment through efficient building design, optimal materials usage, energy efficiency, and streamlined operations. Careful sizing of the facility will result in a smaller footprint, providing a larger buffer for nearby residents and businesses. Recognizing the broader environmental impact, the improved wastewater treatment plant will play a role in sustainability by refining treatment processes, minimizing pipe leakage, and promoting reclamation initiatives for water reuse.

Eligibility and Statutory Requirements

This project is consistent with, and addresses, the following RESTORE Act eligible activity:

- Eligible Activity 6: Infrastructure projects benefiting the economy or ecological resources, including port infrastructure (primary)
- Eligible Activity 7: Coastal flood protection and related infrastructure
- Eligible Activity 10: Promotion of tourism in the Gulf Coast region, including recreational fishing

Comprehensive Plans Goals and Objectives

This project is consistent with, and addresses, the following Comprehensive Plan Goal:

- Goal 2: Restore Water Quality and Quantity: Restore and protect the water quality and quantity of the Gulf Coast region's fresh, estuarine, and marine waters
- Goal 4: Enhance Community Resilience
- Goal 5: Restore and Revitalize the Gulf Economy: Enhance the sustainability and

resiliency of the Gulf economy.

This project is consistent with, and addresses, the following Comprehensive Plan Objective:

- Objective 2: Restore, Improve, and Protect Water Resources (primary)
- Objective 5: Promote Community Resilience
- Objective 7: Improve Science-Based Decision-Making Processes

Implementing Entities

The Gulf Consortium with subrecipient Charlotte County will be the implementing entities responsible for the construction and success monitoring of the project.

Best Available Science and Feasibility Assessment

Charlotte County Utilities (CCU) is a successful program of sewer rehabilitation to reduce groundwater infiltration into the collection system. CCU serves nearly 70,000 homes and businesses in the Greater Port Charlotte area. There are four current reclamation facilities (Burnt Store, East Port, West Port, and Rotonda).

The West Port Wastewater Treatment Plant Expansion Project (WWTP) is expected to reduce nutrient contributions. Advanced Wastewater Treatment will increase as Septic Tanks are removed (FDEP 2018). Expanding Advanced Wastewater Treatment capacity is also consistent with the water quality improvement strategies.

This project is listed in the Charlotte County 2022 - 2023 Capital Improvements Program.

In addition, research has produced best practices guidance on site selection, design features, and construction methods, criteria that are now part of the regulations for permitting. Key literature that forms the basis for this Charlotte County project are cited below:

- JonesEdmunds. (2023). Charlotte County Utilities Department. 2022 Annual Report. March 2023, Charlotte County, Florida. <https://www.charlottecountyfl.gov/core/fileparse.php/529/urlt/2022-ccu-annual-report.pdf>
- JonesEdmunds. (2023). Charlotte County Sewer Master Plan. Charlotte County Utilities Department. 2017. Charlotte County, Florida. <https://www.charlottecountyfl.gov/core/fileparse.php/523/urlt/charlotte-county-sewer-master-plan.pdf>
- Florida H2O Coalition. (2023). Understanding Underground Injection Control Technology. https://cleanerwaterforflorida.com/uic-science-center/?qclid=EAlaIqObChMI9PmSiv63ggMVPROtBh3FigU8EAAYASAAEgLh6_D_BwE
- EPA. (2020). Underground Injection Control Program. Protecting underground sources of drinking water and public health
- EPA. (2023A). Class I Industrial and Municipal Waste Disposal Wells. Underground Injection Control (UIC). <https://www.epa.gov/uic/class-i-industrial-and-municipal-waste-disposal-wells>
- EPA. (2023B). Underground Injection Control Regulations and Safe Drinking Water Act

Provisions. Underground Injection Control (UIC). <https://www.epa.gov/uic/underground-injection-control-regulations-and-safe-drinking-water-act-provisions>

- Charlotte County. (2022). Capital Improvements Program. Board of County Commissioners. Charlotte County, Florida. Adopted October 25, 2022.

This project is feasible with respect to the ability to This project is feasible with respect to the ability to: (1) use existing permits; (2) construct the project within the proposed budget; and (3) effectively operate and maintain the project components over the long term.

Risks and Uncertainties

Common risks associated with wastewater treatment include regulation, permitting, maintenance, non-compliance, and spillage.

From a regulatory standpoint, Class I wells fall under strict oversight governed by RCRA and the Safe Drinking Water Act (SDWA). Construction, permitting, operation, and monitoring obligations are notably more stringent for Class I hazardous waste disposal wells compared to other categories of Class I injection wells. The federal regulations guiding the Underground Injection Control (UIC) program are outlined in Title 40 of the Code of Federal Regulations, with the SDWA playing a foundational role in establishing requirements for the UIC program (EPA, 2023B).

The UIC program is integral in regulating injection wells to safeguard underground sources of drinking water (EPA, 2020), and ensuring compliance is paramount for protection. This involves conducting inspections to confirm adherence to UIC permits or relevant requirements. Inspections encompass validating proper well construction, absence of leaks into the environment, adherence to monitoring protocols, accurate recordkeeping, and compliance with any specified operating conditions. Additionally, proper well closure procedures are verified when operations conclude.

In cases where a well is found to be non-compliant with permit or UIC regulations, the program identifies specific corrective actions that the operator must undertake. The UIC program will facilitate this process, aiding operators in returning the well to compliance through discussions, information provision, and exploring viable options. Enforcement measures, including administrative or judicial processes, may be implemented if necessary to ensure compliance and protect the integrity of the UIC program.

The West Port WRF operations are regulated by FDEP under the provisions of Chapter 403, Florida Statutes, and the applicable FAC rules (JonesEdmunds, 2023). The following permits govern the plant operations:

- Plant Operating Permit (FLA014048) – Expiration Date: February 24, 2026.
- Deep Well (IW-1) Permit (0330461-002-UO/1M) – Expiration Date: May 4, 2026.

The last MIT was performed on IW-1 on June 17, 2020. The next MIT will be due by June 16, 2025.

Furthermore, the stormwater pond in proximity to the headworks necessitates clearing and reconditioning (JonesEdmunds, 2023). Plant operators diligently conduct routine valve exercises

and calibrate compliance meters every six months to uphold operational standards. On-site documentation, including the facility logbook, operating permits, Effluent Analysis Reports, Emergency Operating Plans, and Spill protocol records, are meticulously maintained.

Additionally, plant operations staff adhere to a systematic schedule for clarifier inspection, repair, and painting. Currently, there are minor challenges with sludge hauling services, and the excess sludge stored in the biosolids-handling facilities has not surpassed system capacity (JonesEdmunds, 2023). To address this, the WRF is equipped with four emergency sludge-drying beds, and the Operations staff is actively monitoring the situation.

Programs also evaluate periodic monitoring reports submitted by operators and discuss potential issues with operators. Routine maintenance is performed on a scheduled basis. All individual rules set forth in Florida Department of State 62-600 Domestic Wastewater Facilities will be followed.

Additionally, there is uncertainty related to the timing of permits for construction. Gulf Consortium and Charlotte County will coordinate the project together with the assistance of project contractors as needed, to ensure all permits can be obtained and all environmental compliance requirements are met.

Success Criteria and Monitoring

This project involves engineering, design & construction services for West Port Wastewater Facility expansion, which will likely involve monitoring of the following:

1. Influent & Effluent Flows and Loads
2. Chemical Dosing
3. Chemical Concentrations
4. Chemical Targets Limits
5. Nutrient Analysis at West Port for
 - Total Kjeldahl-Nitrogen
 - Ammonia
 - Nitrate/Nitrate-Nitrogen
 - Total Phosphorus

In the project grant request, a detailed monitoring program design will be described that addresses data collection and assessment methodologies for the above-listed criteria. Charlotte County is committed to conducting the monitoring necessary to quantify project benefits. Preliminary and feasibility studies by Charlotte will likely provide additional data measures under the above metric.

It is anticipated that quantitative success criteria will be developed for:

- Changes in ambient water quality (nutrient and bacterial concentrations)
- Estimated annual nitrogen load reductions
- Estimated annual phosphorus load reductions

- Upgrades to wastewater systems

Project Milestones and Schedule

Bid administration can begin as soon as the project is approved. Preliminary Engineering and Feasibility Studies are currently being completed. Design and permitting, administration, and construction/monitoring will be complete as part of the project process. This project is well understood by Charlotte County staff and it is expected that the entire project can be completed in four years.

| MILESTONE | YEARS FROM APPROVAL | | | | | | | | | | Deliverable (Y/N) | |
|---------------------|---------------------|---|---|---|---|---|---|---|---|----|----------------------|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | | |
| Design | | | | | | | | | | | | Y |
| Permitting Services | | | | | | | | | | | | Y |
| Construction | | | | | | | | | | | | Y |

Budget and Funding Sources

The milestone budget table is updated as follows:

| MILESTONE | ESTIMATED TOTAL DOLLARS | ESTIMATED POT 3 ALLOCATION |
|---------------------------------------|----------------------------|-------------------------------|
| Project Administration and Management | \$100,000 | \$100,000 |
| Preliminary Design | 2,100,000 | \$0 |
| Planning Subtotal | 2,100,000 | \$0 |
| Final Design and permitting | 2,100,000 | \$0 |
| Construction | \$49,976,000 | \$12,500,000 |
| Implementation Subtotal | \$52,076,000 | \$12,600,000 |
| Total Cost | \$54,176,000 | \$12,600,000 |
| COMMITTED FUNDING SOURCES | | |
| Spill Impact Component | | \$12,600,000 |
| Direct Component | | \$0 |
| Other grants or co-funding | | \$0 |
| Other County funds | | \$41,576,000 |
| Total Committed Funding | | \$54,176,000 |
| Budget Shortfall | | \$0 |

Partnerships/Collaborations

Charlotte County Utilities Company collaborates with numerous stakeholders and regulatory agencies such as Florida Department of Environmental Protection, Florida Department of Health, Charlotte County Department of Health, Fish and Wildlife Service Fisheries Program, South Florida Water Management District, Southwest Florida Water Management District, Florida Department of Environmental Protection, Florida Department of Health, Charlotte County

Department of Health, Fish and Wildlife Service Fisheries Program, South Florida Water Management District, and Southwest Florida Water Management District. The relationship with this network of stakeholders and regulatory agencies will ensure the project is a success.

DRAFT

SEP project timing and cost revisions and scope changes

WAKULLA COUNTY

The changes in Wakulla County are to redirect all SEP project funding to would like to project 8-1 to fund the Otter Creek Wastewater Treatment Facility Improvements construction. Project 8-1: Wakulla Springshed Water Quality Protection Program will utilize the entirety of SEP project funds for Wakulla County. The only component of project 8-1 to be supported by SEP project funds will be the Otter Creek WWTF upgrades. Project 8-2: Coastal Public Access Program, will not be completed with SEP project funds. These changes are being made to accommodate cost increases for construction projects and are in alignment with the County's goals to prioritize water quality improvements through wastewater treatment advancements

LEVY COUNTY

This note for Levy County's project 12-2 "Suwannee Sound / Cedar Key Oyster Restoration clarifies the narrative in the "Implementing Entities" section that the contracted firms or subrecipient(s) for implementation will be determined by the County based on the entity's ability to deliver successful project outcomes.

HERNANDO COUNTY

Hernando County's Coastal Stormwater Improvement – Calienta Street: 14-5 is updated here to reflect changes in budgeted amounts among the project components within 14-5. According to the most recent engineer's estimate at final design plans, Hernando County has a shortfall of approximately \$600,000.00, due to increases in the project's estimated expenses for construction.

Hernando County has determined that the best option to cover the insufficient funding is to re-allocate \$600,000.00 from the WW Septic to Sewer Conversion (project 14-4) to pay for this additional cost. WW Septic to Sewer Conversion, project 14-4, is being funded by other sources and will therefore not be reduced in scope. Additionally, a balance of \$2,000,000 will remain for the Bucket 3 contribution to Project 14-4. Under a subaward with the Gulf Consortium, Hernando County will complete drainage infrastructure improvements and the construction of a stormwater treatment system along Calienta Street adjacent to the Hernando Beach canal system. This project aims to reduce flooding and improve water quality. The general location of the project is on the Eastern edge of Hernando Beach, an older residential area on the west coast of Hernando County, Florida. Project components may include replacement of failing drainage pipes and outfall structures, widening and realignment of the roadway, stabilization of failing and eroding seawalls, construction of backflow preventers, and construction of roadside swales, underdrains, exfiltration boxes and/or centrifugal treatment systems. Although this project addresses water quality improvement, the primary focus of the project is on coastal flood protection and related infrastructure (Primary – RESTORE Eligible Activity 7). Additionally, this project aims to enhance community resilience (Comprehensive Plan Goal 4) and restore water quality and quantity (Comprehensive Plan Goal 2), and promote community resilience ((Comprehensive Plan Objective 5) and restore, improve, and protect water resources (Comprehensive Plan Objective

2).

The milestone budget table is updated as follows:

| MILESTONE | ESTIMATED TOTAL DOLLARS | ESTIMATED POT 3 ALLOCATION |
|----------------------------------|-------------------------|----------------------------|
| Feasibility study | \$75,000 | \$0 |
| Preliminary design | \$75,000 | \$0 |
| Planning Subtotal | \$150,000 | \$0 |
| Final design and permitting | \$250,000 | \$0 |
| Construction | \$4,400,000 | \$3,000,000 |
| Implementation Subtotal | \$4,650,000 | \$3,000,000 |
| Monitoring | \$100,000 | \$0 |
| Total Cost | \$4,900,000 | \$3,000,000 |
| COMMITTED FUNDING SOURCES | | |
| Spill Impact Component | | \$3,000,000 |
| Direct Component | | \$0 |
| Other grants or co-funding | | \$1,900,000 |
| Other County funds | | \$0 |
| Total Committed Funding | | \$3,000,000 |
| | Budget Shortfall | \$0 |

PASCO COUNTY

Channel Restoration and Water Quality Project: 15-9 is updated here to reflect changes in the budget amounts among the additional project components within 15-9 (SEP Amendment 3). The goal is to restore the existing channels systems to allowable maintenance depths and to develop a program to maintain these channels in the future. Efforts planned in project 15-9 “Channel Restoration” will be expanded to accommodate a larger project area to be serviced as well as adding a construction component to account for water quality improvements. With the reallocation of project funds, the new project total budget will increase project cost from \$1,400,000 to \$12,519,988.00 (+/- administrative cost). Therefore, projects 15-1 to 15-8 will be replaced with 15-9 and their original budgeted amounts will be shifted to the updated components of Project 15-

9. These adjustments expand the scope from that present in SEP Amendment 3. The new project name will be 15-9 Channel Restoration and Water Quality Project. The purpose of Channel Restoration component of this project is to resolve the issues caused by years of increased sedimentation. This includes major restoration to channels along the coastline of Pasco County including dredging of approximately 30,000 feet of channel (including removal of 52,000 cubic yards of materials), as well as water quality and stormwater improvements, and developing a plan for channel maintenance.

The new water quality component to the scope will include construction of stormwater improvements, to improve flood protection within the Griffin Park Neighborhood of Pasco County. The community floods each year, primarily due to a lack of adequate storage in shallow depressions in the terrain as well as inadequate conveyances throughout the community. The drainage area includes approximately 20.3 acres of residential single-family homes. The project includes the construction of stormwater conveyance system and retention pond which will reduce flooding and improve water quality prior to discharging into the Bear Creek. A bid set of a drainage system that would provide additional storage and drainage system to the Griffin Park community. A new retention pond will be designed along Teak Street, between Canton Avenue and Altoona Avenue, to provide additional storage for the northern half of the community. The outfall for the new pond will connect with the existing FDOT outfall pipe along Canton Avenue that discharges into Bear Creek, to the south. A collection system that consists of a series of defined roadside ditches, pipes and inlets will also be designed to convey runoff to the new pond and outfall system to Bear Creek.

Analysis will include the necessary fieldwork and testing to provide groundwater, Seasonal High-Water Table (SHWT) elevations, and other pertinent information within the area of the proposed pond on Teak Street. Soil borings shall also be conducted along the path of the proposed swales and pipe network. Water quality, treatment, and pollutant loading/removal calculations will be performed.

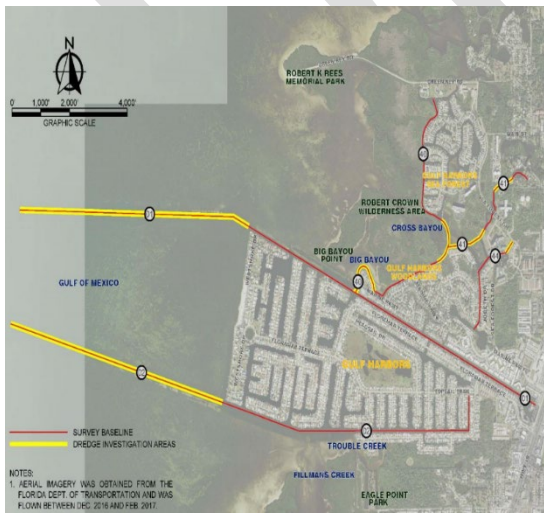
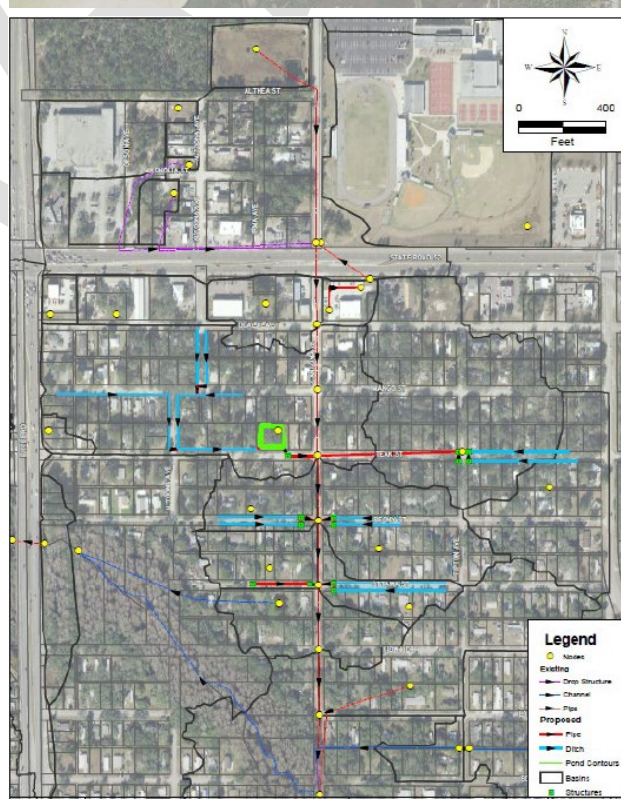
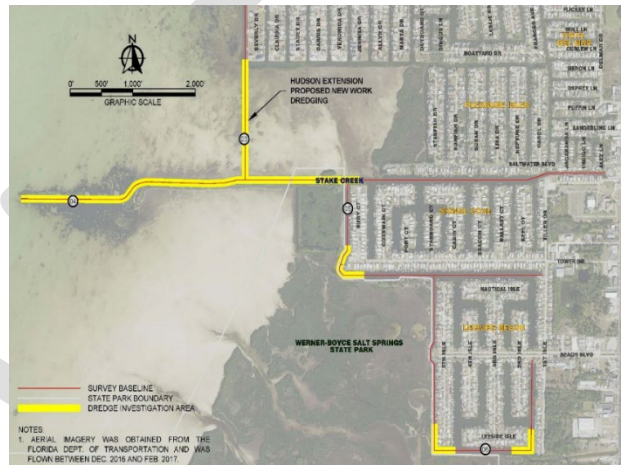
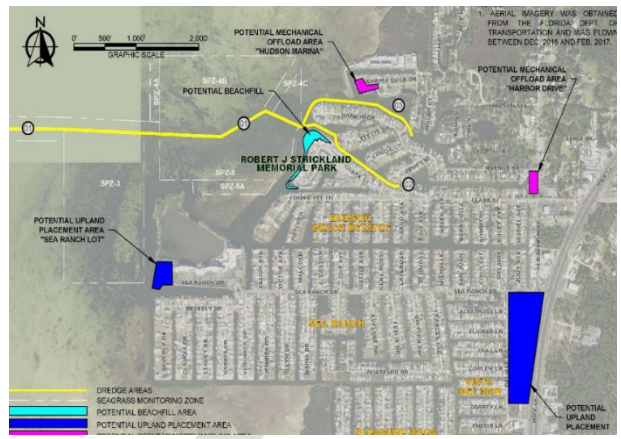
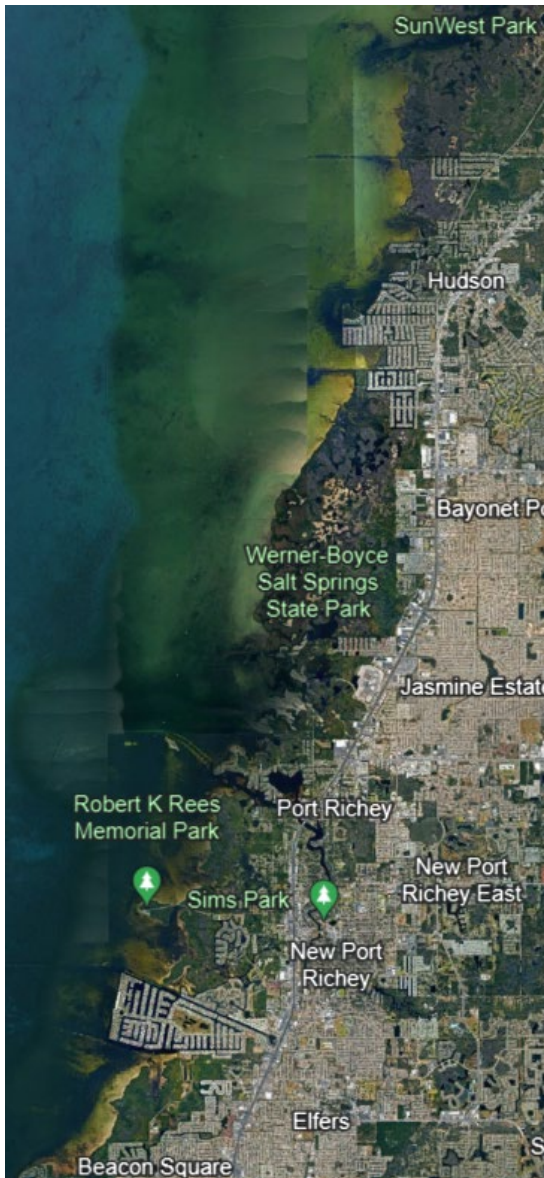
The County is in the process of developing a Coastal Restoration, Protection and Maintenance Plan (Plan), in collaboration with our County Vulnerability Assessment and Action Plan, the Plan is a comprehensive initiative to protect and value the County's natural resources while ensuring economic benefits for the entire County.

The primary eligible activities of the project are Infrastructure projects benefiting the economy or ecological resources, including port infrastructure (Primary – RESTORE Eligible Activity 6) as well as Promotion of tourism in the Gulf Coast region, including recreational fishing (RESTORE Eligible Activity 10). This project also aims to restore and revitalize the Gulf Economy (Comprehensive Plan Goal 5), Restore Water Quality and Quantity (Comprehensive Plan Goal 2). Additionally, this project is consistent with Council Objective 8 - Restore, Diversity, and Revitalize the Gulf Economy (Primary) and Objective 1 - Restore, enhance, and protect habitats.

The milestone budget table is updated as follows:

| MILESTONE | ESTIMATED TOTAL DOLLARS | ESTIMATED POT 3 ALLOCATION |
|--|-------------------------|----------------------------|
| Project Design and Permit | \$750,000 | \$750,000 |
| Planning Subtotal | \$750,000 | \$750,000 |
| Construction | \$7,769,988 | \$7,769,988 |
| Water quality and storage improvements | \$4,000,000 | \$4,000,000 |
| Implementation Subtotal | \$11,769,988 | \$11,769,988 |
| Total Cost | \$12,519,988 | \$12,519,988 |
| COMMITTED FUNDING SOURCES | | |
| Spill Impact Component | | \$12,519,988 |
| Direct Component | | \$0 |
| Other grants or co-funding | | \$0 |
| Other County funds | | \$0 |
| Total Committed Funding | | \$12,519,988 |
| Budget Shortfall | | \$0 |

Also, it should be noted that the geographical extent of the project components is updated as shown in the following figures.



PINELLAS COUNTY

The Wastewater Collection System Improvements: 16-2 is updated here to reflect changes in budgeted amounts among the project components within 16-2. The State Expenditure Plan (SEP) Project 16-2 “Wastewater Collection System Improvements” included a feasibility study, preliminary design, final design and permitting, construction, and monitoring. This amendment increases the effort substantially for Construction Engineering and Inspection (CEI) services for up to fourteen (14) manufactured home communities (MHC). Depending on co-funding availability, fewer MHCs wastewater upgrades might be achieved – for example; only 10 rather than 14 might be able to proceed. Pinellas County Utilities (PCU) is presently within its preliminary design and permitting phase for replacement of the existing sanitary sewer collection system within these 14 communities (30% Plans and Opinion of Probable Construction Costs (OPCC) have been developed thus far). The OPCCs from preliminary design already indicate that construction will be approximately triple the estimated construction cost from the original SEP (\$80,771,059 versus \$25,668,581). This project can only utilize grant funds, hence fiscal challenges for sanitary sewer collection system replacements for all 14 manufactured home communities. Therefore, the requested project budget is based on the remaining funds from the original program allocation, which is \$4,374,738.

In anticipation of limited grant funds, this project is seeking amendments to the scope of the original SEP funds for design and CEI services due to increased construction costs. Additional secured grant funding and cost allocations are as follows:

- RESTORE bucket 3: about \$2,000,000 for design/permitting services;
- Resilient Florida 2022: \$25,000,000 for construction; and
- ARPA: \$13,900,000 for construction.

To also accommodate the increased construction cost for the manufactured home communities, the CEI services and construction is now limited to up to 14 manufactured home communities. The project will be completed in phases with partial design construction to ensure the complete construction of select manufactured home communities with the available funds. These adjustments do not change the objectives or success criteria from what was planned in the original SEP.

Sanitary sewer overflows (SSOs) from aging and failing wastewater infrastructure in Tampa Bay is a problem recognized by the Tampa Bay Estuary Program (TBEP) and the Florida Department of Environmental Protection (FDEP). Prioritizing and upgrading aging and failing wastewater infrastructure to reduce the frequency and severity of SSOs are water quality improvement and asset management strategies

Project Milestones and Schedule

It is expected that the amended project components can be completed in three years.

| MILESTONE | YEARS FROM APPROVAL | | | | | | | | | | Deliverable (Y/N) | |
|---------------------|---------------------|---|---|---|---|---|---|---|---|----|----------------------|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | | |
| Design | | | | | | | | | | | | Y |
| Permitting Services | | | | | | | | | | | | Y |
| Construction | | | | | | | | | | | | Y |

All updated costs and milestones are documented in Table 1.

The milestone budget table is updated as follows:

| MILESTONE | ESTIMATED TOTAL DOLLARS | ESTIMATED POT 3 ALLOCATION |
|--------------------------------|----------------------------|-------------------------------|
| Design and Permitting | \$6,464,685 | \$2,085,262 |
| Planning Subtotal | \$6,464,685 | \$2,085,262 |
| Construction | \$80,771,059 | \$4,374,738 |
| Monitoring | 109,200 | \$0 |
| Implementation Subtotal | \$80,880,259 | \$80,771,059 |
| Total Cost | \$87,232,744 | \$6,460,000 |
| COMMITTED FUNDING SOURCES | | |
| Spill Impact Component | | \$6,460,000 |
| Direct Component | | \$0 |
| Other grants or co-funding | | \$40,900,000 |
| Other County funds | | \$0 |
| Total Committed Funding | | \$12,519,988 |
| Budget Shortfall | | \$0 |

DRAFT

AGENDA ITEM 8

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 8
Grant Applications**

Statement of Issue:

Reminder of upcoming grant application deadline. One new application (new project) for a new project to begin.

Background:

The next recommended deadline for submission of grant application materials is 1/5/2024, to allow for staff time to prepare applications for the January or February Consortium Board Meeting. See project data dashboard (page navigation arrows at bottom of dashboard) for project and milestones lists:

<http://datavisual.balmoralgroup.us/GulfConsortiumProjects>. Please let us know if you have projects planned for later that could possibly start now; these can be reviewed by the Board if they are able to start sooner than originally planned.

Please consider what work you want to start in the next year; if you want Bucket 3 funded work to start by December 2024, you should start the funding application process now. Pre-award costs may be allowable but that brings some extra risk.

Reminder - all active project with supporting information can be reviewed here:

<https://datavisual.balmoralgroup.us/GulfConsortiumStoryMap>

That link includes access to all project deliverables (plans, permits, as-built documentation, etc.)

Most Recent Activity:

There is a new funding request for Monroe County's project 23-1: Canal Management Master Plan Implementation. About \$12.5M in RESTORE bucket 3 funds will support the construction, inspection, and monitoring for 6 canal restoration projects in the County.

Attachments:

- Applications summary table

Options:

- Approve this funding request for full Board review
- Committee direction

Prepared by:

Dan Dourte

The Balmoral Group, Grants Administrator

On: November 20, 2023

Project Applications/Amendments Summary Table

| County | Project Name | Milestones | Metrics | Total Amount | Subrecipient Amount | Start Date | End Date | County Risk/ Project Risk |
|--------|--|--------------------------|--|--------------|---------------------|------------|-----------|------------------------------|
| Monroe | 23-1 Canal Management Master Plan Implementation | Construction; Monitoring | HR011 - Hydrologic restoration - Miles of canals backfilled COI002 - Outreach/ Education/ Technical Assistance - Number of people reached PRM008 - Monitoring - Miles being monitored' | \$12,632,689 | \$12,550,000 | 9/1/2024 | 12/1/2029 | TBD |

Total new Pot 3 funding request: \$12,632,689

NOTE: See all project status on P. 7 of <http://datavisual.balmoralgroup.us/GulfConsortiumProjects> (navigation arrows at bottom of screen on that link)

AGENDA ITEM 9

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 9
Financial Statements**

Statement of Issue:

Presentation of the most recent monthly financial statements.

Background:

Financial Statements are produced monthly for the Consortium. Additionally, attachments include a listing of the cash receipts and cash disbursements since the last report date.

Attachments:

- a) Balance Sheet through September 2023 and as of October 31, 2023
- b) Income Statement from October 1, 2022 through September 30, 2023 and October 2023
- c) Deposits July 1, 2023 through October 31, 2023
- d) Disbursements July 1, 2023 through October 31, 2023

Action Required:

- 1) Recommend approval Financial Reports for Full Board Approval
- 2) Other Committee direction

Staff Recommendation:

Approve as proposed.

Prepared by:

Richard Bernier
The Balmoral Group
Finance Manager
On: November 22, 2023

Action Taken:

Motion to: _____, Made by: _____;

Seconded by: _____.

Approved____; Approved as amended____; Defeated_____.

Gulf Consortium
Balance Sheet
As of September 30, 2023

| | <u>Sep 30, 2023</u> |
|--|-----------------------------|
| ASSETS | |
| Current Assets | |
| Checking/Savings | |
| Cash | |
| Grant Account (Wells Fargo) | 370,809.10 |
| Operating Account (Seaside) | 96,201.12 |
| Total Cash | <u>467,010.22</u> |
| Total Checking/Savings | 467,010.22 |
| Accounts Receivable | |
| Accounts Receivable | |
| Adaptive Planning | 437,873.27 |
| Grants Receivable (SEP) | 49,197,164.36 |
| Total Accounts Receivable | <u>49,635,037.63</u> |
| Total Accounts Receivable | 49,635,037.63 |
| Other Current Assets | |
| Other Current Assets | |
| Other Receivables | 372,220.32 |
| Prepaid Expenses | 21,827.93 |
| Total Other Current Assets | <u>394,048.25</u> |
| Total Other Current Assets | 394,048.25 |
| Total Current Assets | <u>50,496,096.10</u> |
| TOTAL ASSETS | <u><u>50,496,096.10</u></u> |
| LIABILITIES & EQUITY | |
| Liabilities | |
| Current Liabilities | |
| Accounts Payable | |
| Payables | |
| Accounts Payable (Grants) | 373,347.55 |
| Accounts Payable (General) | 1,224.40 |
| Total Payables | <u>374,571.95</u> |
| Total Accounts Payable | 374,571.95 |
| Other Current Liabilities | |
| Accrued Liabilities | |
| Accrued Liabilities (Grants) | 50,004,578.74 |
| Total Accrued Liabilities | <u>50,004,578.74</u> |
| Total Other Current Liabilities | <u>50,004,578.74</u> |
| Total Current Liabilities | <u>50,379,150.69</u> |
| Total Liabilities | 50,379,150.69 |
| Equity | |
| Funds Transfers | (59,372.93) |
| Unrestricted Net Assets | 98,903.83 |
| Net Income | 77,414.51 |
| Total Equity | <u>116,945.41</u> |
| TOTAL LIABILITIES & EQUITY | <u><u>50,496,096.10</u></u> |

Gulf Consortium
Balance Sheet
 As of October 31, 2023

| | <u>Oct 31, 2023</u> |
|---------------------------------------|-----------------------------|
| ASSETS | |
| Current Assets | |
| Checking/Savings | |
| Cash | |
| Grant Account (Wells Fargo) | 1,270.67 |
| Operating Account (Seaside) | 4,870,825.31 |
| Total Cash | <u>4,872,095.98</u> |
| Total Checking/Savings | 4,872,095.98 |
| Accounts Receivable | |
| Accounts Receivable | |
| Adaptive Planning | 416,800.73 |
| Grants Receivable (SEP) | 48,863,605.56 |
| Accounts Receivable (General) | 73,062.00 |
| Total Accounts Receivable | <u>49,353,468.29</u> |
| Total Accounts Receivable | 49,353,468.29 |
| Other Current Assets | |
| Other Current Assets | |
| Other Receivables | (13,337.03) |
| Prepaid Expenses | 31,652.93 |
| Total Other Current Assets | <u>18,315.90</u> |
| Total Other Current Assets | 18,315.90 |
| Total Current Assets | <u>54,243,880.17</u> |
| TOTAL ASSETS | <u>54,243,880.17</u> |
| LIABILITIES & EQUITY | |
| Liabilities | |
| Current Liabilities | |
| Accounts Payable | |
| Payables | |
| Accounts Payable (Grants) | 2,256.42 |
| Accounts Payable (General) | 1,399.40 |
| Total Payables | <u>3,655.82</u> |
| Total Accounts Payable | 3,655.82 |
| Other Current Liabilities | |
| Accrued Liabilities | |
| Accrued Liabilities (Grants) | 49,280,406.27 |
| Total Accrued Liabilities | <u>49,280,406.27</u> |
| Total Other Current Liabilities | <u>49,280,406.27</u> |
| Total Current Liabilities | <u>49,284,062.09</u> |
| Total Liabilities | 49,284,062.09 |
| Equity | |
| Funds Transfers | (59,372.93) |
| Temp. Restricted Net Assets | 4,725,816.72 |
| Unrestricted Net Assets | 175,155.84 |
| Net Income | 118,218.45 |
| Total Equity | <u>4,959,818.08</u> |
| TOTAL LIABILITIES & EQUITY | <u>54,243,880.17</u> |

Gulf Consortium
Profit & Loss
 October 2022 through September 2023

| | <u>Adaptive Planning</u> | <u>General Fund</u> | <u>SEP Grants</u> | <u>TOTAL</u> |
|--|--------------------------|---------------------|---------------------|---------------------|
| Income | | | | |
| Adaptive Planning Grant | 33,059.37 | - | - | 33,059.37 |
| Direct Contributions | - | 124,122.00 | - | 124,122.00 |
| Grant Funds - SEP | - | - | 2,761,135.43 | 2,761,135.43 |
| Total Income | <u>33,059.37</u> | <u>124,122.00</u> | <u>2,761,135.43</u> | <u>2,918,316.80</u> |
| Expense | | | | |
| Reconciliation Discrepancies | - | 2.70 | - | 2.70 |
| Adaptive Planning | 33,059.37 | - | - | 33,059.37 |
| SEP Grants | - | - | 2,761,135.43 | 2,761,135.43 |
| General Consortium Expenses | | | | |
| Special District Fees | - | 175.00 | - | 175.00 |
| Bank Fees | - | 222.05 | - | 222.05 |
| Meeting Expense | - | 2,827.31 | - | 2,827.31 |
| Management Fees | - | 38,237.50 | - | 38,237.50 |
| Legal Fees | - | 6,405.43 | - | 6,405.43 |
| Total General Consortium Expenses | <u>-</u> | <u>47,867.29</u> | <u>-</u> | <u>47,867.29</u> |
| Total Expense | <u>33,059.37</u> | <u>47,869.99</u> | <u>2,761,135.43</u> | <u>2,842,064.79</u> |
| Net Income | <u>-</u> | <u>76,252.01</u> | <u>-</u> | <u>76,252.01</u> |

Gulf Consortium
Profit & Loss
 October 2023

| | <u>Adaptive Planning</u> | <u>General Fund</u> | <u>SEP Grants</u> |
|--|--------------------------|---------------------|-------------------|
| Income | | | |
| Adaptive Planning Grant | 4,293.82 | - | - |
| Direct Contributions | - | 124,122.00 | - |
| Grant Funds - SEP | - | - | 311,221.80 |
| Total Income | <u>4,293.82</u> | <u>124,122.00</u> | <u>311,221.80</u> |
| Expense | | | |
| Adaptive Planning | 4,293.82 | - | - |
| SEP Grants | - | - | 311,221.80 |
| General Consortium Expenses | | | |
| Special District Fees | - | 175.00 | - |
| Management Fees | - | 5,731.25 | - |
| Total General Consortium Expenses | <u>-</u> | <u>5,906.25</u> | <u>-</u> |
| Total Expense | <u>4,293.82</u> | <u>5,906.25</u> | <u>311,221.80</u> |
| Net Income | <u>-</u> | <u>118,215.75</u> | <u>-</u> |

Gulf Consortium Profit & Loss October 2023

| | <u>TOTAL</u> |
|--|--------------------------|
| Income | |
| Adaptive Planning Grant | 4,293.82 |
| Direct Contributions | 124,122.00 |
| Grant Funds - SEP | 311,221.80 |
| Total Income | <u>439,637.62</u> |
| Expense | |
| Adaptive Planning | 4,293.82 |
| SEP Grants | 311,221.80 |
| General Consortium Expenses | |
| Special District Fees | 175.00 |
| Management Fees | 5,731.25 |
| Total General Consortium Expenses | <u>5,906.25</u> |
| Total Expense | <u>321,421.87</u> |
| Net Income | <u><u>118,215.75</u></u> |

AGENDA ITEM 10

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 10
Project Highlight**

Statement of Issue:

At the request of the Board, an SEP project implementation highlight will be presented at Board meetings.

Discussion:

Santa Rosa County's project 2-1: Santa Rosa Sound Water Quality Improvement Program. This project established a water quality monitoring program and will also provide septic-to-sewer conversions and wastewater treatment plant upgrades in the Soundside Drive and Navarre Beach locations. Naisy Dolar serves as project manager with Santa Rosa County.

Attachments:

Slides on project 2-1: Santa Rosa Sound Water Quality Improvement Program.

Action Required:

None; informational only.

Prepared by:

Dan Dourte, The Balmoral Group

On: November 27, 2023



Project Highlight:

**2-1: Sound Water
Quality Improvement
Program –
Monitoring &
Construction
(Santa Rosa)**

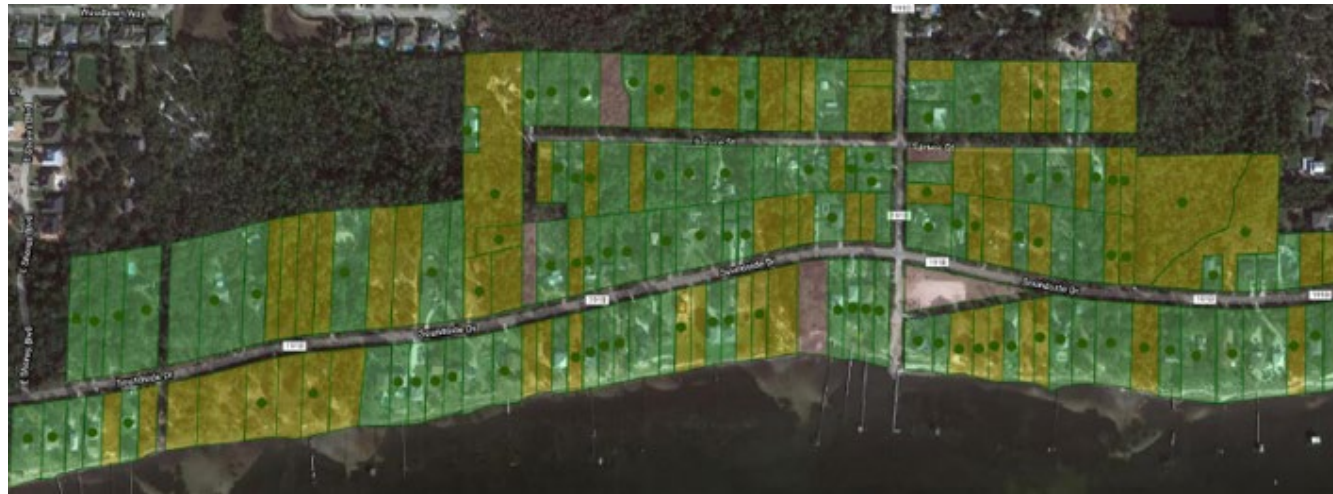
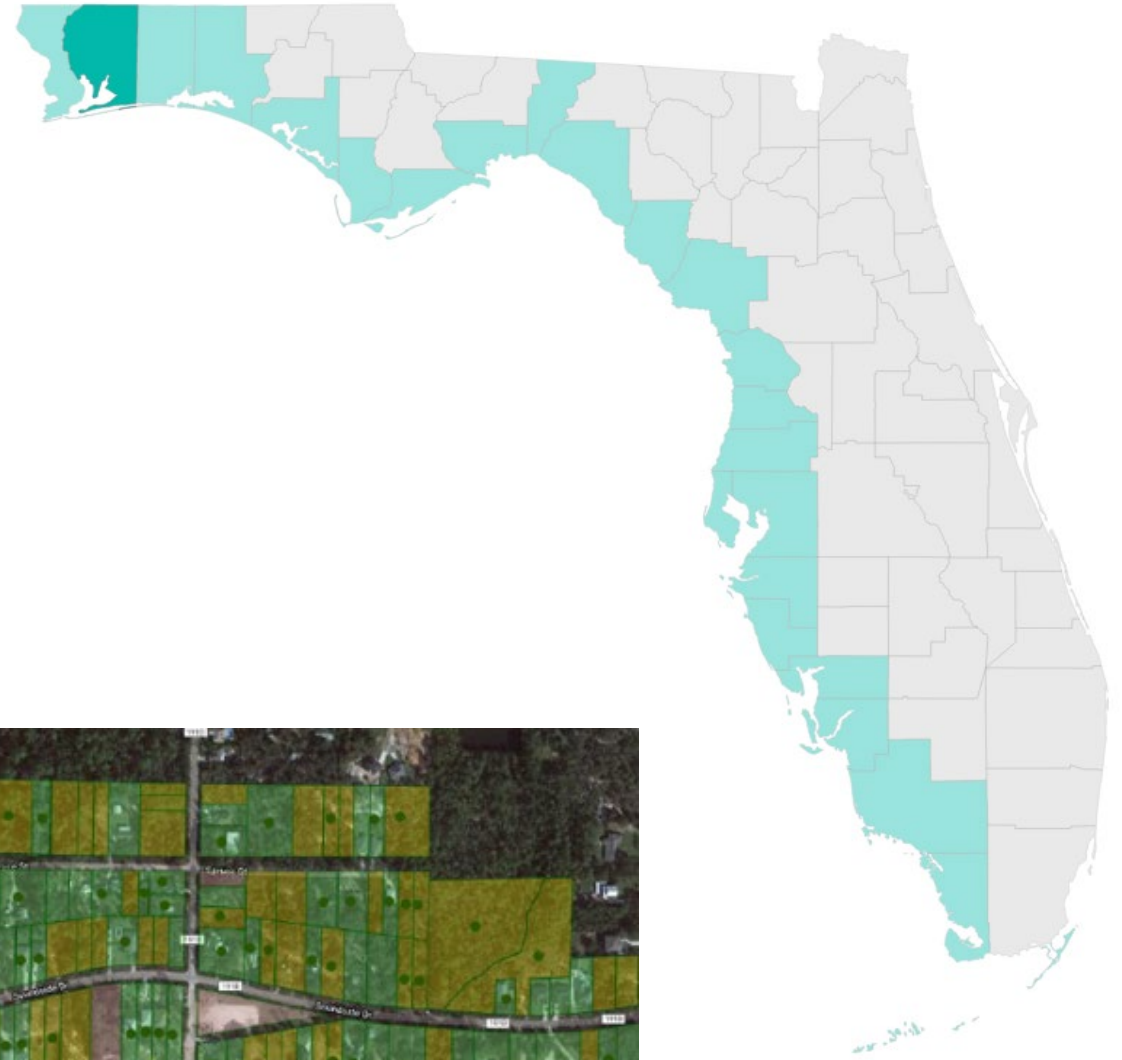


Photo Credit: Santa Rosa – Component 2 (Soundside Dr. B Septic
to Sewer Construction and Wastewater Treatment Facility
Gulf Consortium Executive Committee Meeting
Expansion)



2-1: Sound Water Quality Improvement Program (Santa Rosa)

Goals:

- **Component 1** - Establish a Water Quality Monitoring program that collects, interprets, and shares data about water quality characteristics from nine stations in and around Santa Rosa Sound
- **Component 2** - Support residential septic-to-sewer conversion within the Fairpoint Peninsula and restore water quality
- **Component 3** - Eliminate surface water discharge of treated wastewater effluent from the Navarre Beach Wastewater Treatment Facility (NBWWTF) into Santa Rosa Sound.

Approach:

- **Component 1** – collect and make data available during pre and post construction phases of water quality improvement projects to identify the environmental impact upon completion
- **Component 2** - Septic to Sewer conversion extends the existing sewer system to provide available service to identified properties
- **Component 3** - construction of a transmission line, rib system and facility upgrades necessary to permanently relocate effluent for reuse and a land application site



Photo Credit: Santa Rosa 2022 Water Quality Report

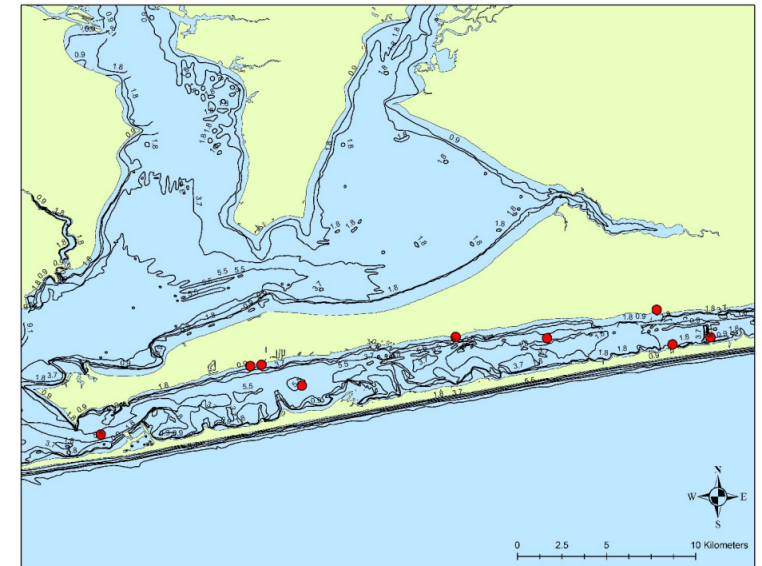


Photo Credit: Santa Rosa Monitoring Program –
Annual Data Report 2023

2-1: Sound Water Quality Improvement Program (Santa Rosa)

Key Personnel:

- Naisy Dolar (Santa Rosa County)

Background:

- Awarded May 2022
- Tentative Close June 2027

Progress and status:

- 2023 report on the annual data collected from WQM project for Santa Rosa Sound
- Component 2 – Awarded
- Component 3 – RESTORE Review Stage

Phase II - Navarre Beach WWTP Connection to Holley-Navarre WWTP



Photo Credit – Santa Rosa County

AGENDA ITEM 11

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 11
General Counsel's Report**

Statement of Issue:

As needed updates from General Counsel

Attachments:

None.

Action Required:

None, informational only

Prepared By:

Dan Dourte

The Balmoral Group

On: November 27, 2023

AGENDA ITEM 12

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 12
Manager's Report**

Statement of Issue:

Consortium staff provides a report on updates to Consortium activities since the last Board meeting.

Discussion:

Staff submitted 18 draw requests and ten financial and performance reports, resubmitted two applications, accepted 1 award and 2 amendments totaling \$10.5 million during the period. Currently we have 51 grants in various stages of activity and have \$118 million in active grants, with \$24 million under RESTORE review, \$82 million in active awards, and \$9.5 million closed or withdrawn. Average processing time from original receipt of grant application to award date is 285 days.

Staff has continued with the new quarterly review schedule, and currently has 18 reviews completed. Annual risk assessments have been updated.

As stated in an earlier item, additional funds have been received from the HESI/Transocean settlement in the amount of \$4.725 million. These funds are available now for use by Counties as co-funding for approved SEP projects. Staff is still working with Leon County to finalize the accounts arrangement, which will be a hybrid arrangement which minimizes fees but maximizes earnings. Please reach out to Dan or Amy with any questions about how you can access your county's share of the funds (\$205,435) toward a project.

Officer elections will occur at the next meeting in January, for Officer roles and two alternates. With the Executive Committee's concurrence, we will follow the format established in the past few years to conduct virtual elections. We encourage anyone interested in an Officer role to pursue approval by their County for participation. We also continue to extend the invitation to anyone on the Board that is interested in a role on Finance and Budget Committee.

Attachments:

None.

Action Required:

None; informational only.

Prepared by:

Valerie Seidel, The Balmoral Group

On: November 27, 2023

AGENDA ITEM 13

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 13
Public Comments**

Statement of Issue:

The public is invited to provide comments on relevant issues.

Attachments:

None

Prepared by:

Dan Dourte
The Balmoral Group
On: November 27, 2023

AGENDA ITEM 14

**Gulf Consortium Executive Committee Meeting
December 14, 2023**

**Agenda Item 14
Executive Committee Member Comments**

Statement of Issue:

Members of the Executive Committee are invited to provide comments on relevant issues.

Attachments:

None

Prepared by:

Dan Dourte
The Balmoral Group
On: December 5, 2023